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Jc674 U.S. PTO

Patent Application Transmittal

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

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Jc675 U.S. PTO
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10/04/99

Date: October 4, 1999

Attorney Docket No.: 450117-02105

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office
of an application for patent in the name(s) of:

John HALTON, Paul JAMES

entitled:

RANDOM ACCESS CHANNEL PRIORITIZATION SCHEME

The following are enclosed:

- ☒ Specification (8 pages)
- ☒ 2 Sheet(s) of Drawings
- ☒ 15 Claim(s) (including 3 independent claim(s))
- ☐ This application contains a multiple dependent claim

- ☒ Our check for \$ 760.00, calculated on the basis of the claims as amended by any enclosed preliminary amendment as follows:

Basic Fee, \$760.00 (\$380.00)	\$ 760.00
Number of Claims in excess of 20 at \$18.00 (\$9.00) each:	-0-
Number of Independent Claims in excess of 3 at \$78.00 (\$39.00) each:	-0-
Multiple Dependent Claim Fee at \$260.00 (\$130.00)	-0-
Total Filing Fee	\$ 760.00
Assignment Recording Fee \$40.00	-0-

- ☒ Oath or Declaration and Power of Attorney

☒ New ☐ signed ☒ unsigned
☐ Copy from a prior application (37 C.F.R. 1.63(d))

- ☒ Certified copy of each of the following application(s) to substantiate the claim(s) for priority made in the Declaration:

<u>Application No.</u>	<u>Filed</u>	<u>In</u>
98 118 819.6	5 October 1998	Europe

Please charge any additional fees required for the filing of this application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: Dennis M. Smid
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : John HALTON et al.
Filed : Herewith
For : RANDOM ACCESS CHANNEL PRIORITIZATION SCHEME

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Date of Deposit October 4, 1999

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Charles Jackson

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Charles Jackson

(Signature of person mailing paper or fee)

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Before the issuance of the first Official Action, please amend the above-identified application as follows:

IN THE CLAIMS:

Please amend the claims as follows:

Claim 3, line 1, delete "or 2";

Claim 4, line 1, delete "2 or 3,";

Claim 6, line 1, change "one of the preceding claims" to --claim 1--;

Claim 7, line 1, change "one of the preceding claims"
to --claim 1--;

Claim 10, line 1, delete "or 9";

Claim 11, line 1, change "one of the claims 8 to 10" to
--claim 8--;

Claim 14, line 1, delete "or 13";

Claim 15, line 1, change "one of the claims 12 to 14"
to --claim 12--.

REMARKS

The claims have been amended to eliminate multiple dependencies. The filing fee has been calculated based upon these amendments to the claims.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
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PATENT
450117-02105

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
APPLICATION FOR LETTERS PATENT

TITLE: RANDOM ACCESS CHANNEL PRIORITIZATION
 SCHEME

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 Sony Europe Referenz: PAE98-059TRDE
 Sony Tokyo Referenz: S98P5096EP00
 5 Unser Aktenzeichen: P 21219 EP

Random access channel prioritization scheme

10 The present invention relates to a method and to devices for transmitting and receiving data in a code division multiple access telecommunication system.

A telecommunication system is a system, in which data are communicated between one or more base stations or one or more mobile stations. Thereby, the communication area
 15 is divided in cells, in which one base station communicates with one or more mobile stations. Multiple access stations are used to support the simultaneous access of a plurality of mobile stations to one base station within delimited resources of the transmission system. Several multiple access systems are known, for example frequency division multiple access (FDMA), time division multiple access (TDMA), or code
 20 division multiple access (CDMA). Additional to these basic types of multiple access systems, combinations of these different systems are possible and in practical use. The GSM-system for example uses a combination of FDMA and TDMA.

The present invention particularly relates to the transmission and reception of random
 25 access data in a code division multiple access system. Random access data are transmitted in the so-called random access channel (RACH) from a mobile station to build up a connection with a base station. The random access data from the mobile station can contain a request, if the base station has sufficient resources available to build up the required connection.

30

The random access channel comprises or consists of succeedingly or periodically provided random access time windows, in which several random access slots are available. An example for such a known random access time window is shown in figure 1. The different random access slots are randomly chosen by a mobile station for the
 35 transmission of random access data. In a currently proposed wide band direct sequence CDMA (WCDMA) system the random access time windows are based upon an initial preamble scrambling code, which differentiates between one cell and another cell. Thereby, these codes need to be planned to ensure that neighbouring cells do not use the same code. Therefore, within the preamble part of each random access burst, is

provided the preamble signature, which is one of 16 separate codes available for use within that cell. These 16 codes can be seen as separate slots. One of these codes is chosen randomly by the mobile station for the transmission of random access data. Beforehand, the base station broadcasts, which codes are available in each cell over the broadcast control channel (BCCH). In addition, within one time frame (10ms) are provided 8 time offsets, each of 1,25ms, allowing further 8 variations. In other words, in each time frame a random access time window is provided, which comprises a plurality of random access slots for transmitting random access data from one or more mobile stations to the base station. The random access time window thereby extends over the time frame of 10ms so that 128 different random access slots (16 separate preamble codes and 8 time offsets) are provided within one random access time window. This case is shown in figure 1.

A collision, i.e. a situation, where the messages collide and are lost, only occurs, when both, the preamble code and the time offset are chosen in the same random access time window. In practice it is expected that only about 30% of the theoretical maximum of 128 accesses per 10ms will be possible.

In a situation, where a number of packet data users are operating in a burst traffic situation, this maximum could be quickly reached. In such a situation the access to the network will either become slower or not be possible at all. This is due to congestion caused by the build-up of first time requests and the retransmissions made necessary by previous collisions. Since the access to the random access slots is contention based, a guaranteed upper limit on the amount of time needed to access the system even after an initial bust is not ensured. For packet data applications, which demand a constant periodic delivery of data, ready access is critical.

The object of the present invention is thus to provide a method and devices for transmitting and receiving data in a code division multiple access telecommunication system, in which a random access time window comprising a plurality of random access slots for transmitting random access data is provided and which enable a reduced congestion on the random access slots and a more efficient use of the random access time window.

This object is achieved by a method for transmitting and receiving data in a code division multiple access telecommunication system, comprising the steps of providing a random access time window comprising a plurality of random access slots for transmitting random access data from at least one first communication device to a second communication device, dividing a plurality of random access slots of the random

access time window into at least two groups, and allocating the groups to respective priority classes, whereby the priority classes represent the transmission priorities of the random access data to be transmitted in the random access slots.

- 5 The first communication device can thereby be a mobile station and the second communication device can thereby be a base station of the telecommunication system.

The above object is further achieved by a device for transmitting and receiving data in a code division multiple access telecommunication system, in which a random access time
 10 window comprising a plurality of random access slots for transmitting random access data is provided, the plurality of random access slots of the random access time window being divided into at least two groups and the groups being allocated to respective priority classes, whereby the priority classes represent the transmission priorities of the random access data to be transmitted in the random access slots, with means for
 15 randomly choosing one or more random access slots from a group having a certain priority class corresponding to the transmission priority of the random access data to be transmitted, and means for transmitting the random access data in said chosen random access slot(s). This device for transmitting and receiving data according to the present invention can for example be a mobile station.

20 The above object is further achieved by a device for transmitting and receiving data in a code division multiple access communication system, in which a random access time window comprising a plurality of random access slots for transmitting random access data is provided, with means for dividing the plurality of random access slots of the
 25 random access time window into at least two groups, whereby the groups are allocated to respective priority classes, the priority classes representing the transmission priorities of the random access data to be transmitted in the random access slots, and means for transmitting information defining the groups of the random access time window. This device for transmitting and receiving data according to the present invention can for
 30 example be a base station.

According to the method and the devices of the present invention, the allocated random access channel resources are divided between different types of access on a priority basis. Thus higher priority access types receive more physical resources. This ensures,
 35 that some access types will have a better possibility of gaining access to the network due to the higher probability that a particular message will be successful in reaching the base station. The priority types and ratings can be tailored by the network and broadcast to a mobile station upon the broadcast control channel.

The method and the devices according to the present invention particularly lessens the effect of possible congestion on the random access channel caused by increased load, for instance packet data capacity requests, and the transfer of small amounts of user data within random access bursts.

5

Advantageously, the transmission priorities of the random access data to be transmitted are determined on the basis of the content and the type of the random access data. Further, in said device for transmitting and receiving data, which can be a base station, said means for dividing the random access slots into groups sets the number of random
 10 access slots in each group variably depending on system requirements. The size of each group is thus controlled by the network and can be dynamically changed depending on current traffic patterns, network requirements and so forth. A corresponding signal indicating the new groups will then be transmitted over a broadcast channel from the base station to the mobile stations.

15

Said device for transmitting and receiving data according to the present invention, which can be a mobile station, can further comprise means for extracting information defining the groups of the random access time window from a received broadcast signal. Each time the defined groups are changed by the network, a corresponding
 20 signal is broadcast from the respective base station. This signal is received by the mobile stations, in which above-mentioned means for extracting the information defining the groups extracts said information and uses the information for the following transmissions of random access bursts. The access probability can depend on the number of random access slots in the respective groups. Thus, groups with higher
 25 priority classes can comprise a larger number of random access slots than groups with lower priority classes.

Further advantageously, each random access slot in said random access time window is defined by a time offset value and a preamble code.

30

The advantages of the method and the devices of the present invention are a high flexibility in allowing prioritization of access related to the access type and subsequent prevention of congestion for certain access types which may be considered to have a higher access priority at the expense of those access types that may be considered to
 35 have a low access priority. A further advantage of the present invention is the ability to dynamically chose the quality of service level on a regular basis depending upon traffic conditions via a broadcast channel for different access types. The present invention further avoids deadlock situations, where random access channel re-transmissions

combine with normal traffic to cause a system overload and then a collapse for certain types of traffic at the expense of others.

5 A preferred embodiment of the present invention is now explained in detail relating to the enclosed drawings, in which

Figure 1 shows a schematic diagram of a known random access time window,

Figure 2 shows a schematic diagram of a random access time window divided into groups according to the present invention,

10 Figure 3 shows a block diagram of a mobile station according to the present invention, and

Figure 4 shows a block diagram of a base station according to the present invention.

15 In Figure 2, a random access time window 20 is shown, in which a plurality of random access time slots are provided. In the exemplary random access time window 20 shown in figure 2, 128 random access time slots are provided, each of which is defined by one of 8 time of said values and of 16 preamble codes.

20 The random access time window shown as an example in Figure 2 extends thus over one time frame of 10ms, in which 8 time offsets, each of 1,25ms are provided. As shown in figure 2, the random access time window is divided into three different groups of random access time slots. Each of the three groups has a different access priority. In the random access time window 20 shown in figure 2, 32 random access time slots 21 are allocated to initial access attempts. Initial access attempts are attempts from a
25 mobile station to build up a connection with a base station. This first random access slot group is defined by the first eight preamble codes and the first four time offset values. The second group of random access slots 22 comprises also 32 slots and is allocated for packet data access attempts. These are attempts from mobile stations, which already have build up a connection with a corresponding base station and which to transfer
30 packet data. The random access slots 22 of the second group are defined by the first eight preamble codes and the second four time offset values.

The third group of random access slots 23 is the largest group and is defined by the second eight preamble codes and all possible 8 time offset values. The random access
35 slots 23 of the third group are allocated for voice call access attempts. These are attempts of a mobile station, which already has build up a connection with a base station and wishes to initiate a real time speech connection. Since the third group has the highest priority class, the third group has the largest number of random access slots 23 available so that a high probability of access is given for voice call access attempts.

In Figure 3, a block diagram of a mobile station 24 according to the present invention is shown, and in Figure 4, a block diagram of a base station 25 according to the present invention is shown. In the block diagrams shown in Figure 3 and 4, only the elements necessary for explaining and incorporating the present invention are represented. It is to be understood, that both, the mobile station 24 shown in figure 3 as well as the base station 25 shown in figure 4 comprise all other necessary elements for transmitting and receiving data in a code division multiple access telecommunication system according to the present invention, for example, coding and decoding means, interleaving and deinterleaving means, modulating and demodulating means and so on.

The mobile station 24 shown in figure 3 comprises an antenna 26, a receiving means 28 and a transmitting means 29 for receiving and transmitting data over the antenna 26 and from a corresponding base station, for example, the base station 25 shown in figure 4. The transmitting means 29 is coupled to a means 30 for randomly choosing one or more random access slots from the group having a priority class, which corresponds to the random access data to be transmitted. If, for example, the random access data to be transmitted by the mobile station 24 are packet data, the means 30 selects one or more random slots 27 in the case of figure 2 from the corresponding group. The means 30 for randomly choosing the random access slots from the corresponding group is connected to a means 31 for extracting corresponding group information from a broadcast signal received from a base station. A base station, for example the base station 25 shown in figure 4, broadcasts each time the network changes the group allocation in the random access time windows, a corresponding signal defining the groups to the connected mobile stations. In this case, the mobile station 24 receives the corresponding broadcast signal over the antenna 26 and the receiving means 28. The information defining the new groups in the random access time window is then extracted by the means 31 and transmit to the means 30, which correspondingly chooses required random access slots from the respective groups. The means 30 for randomly choosing random access slots from the corresponding group and the means 31 for extracting the group information are connected to other necessary elements of a mobile station 24 operating in a code division multiple access telecommunication system as required.

The base station 25 shown in figure 4 comprises an antenna 27, a receiving means 32 and a transmitting means 33 for transmitting and receiving data to and from correspondingly connected mobile stations, for example, the mobile station 24 shown in figure 3. The transmitting means 33 is connected to a means 34 for dividing the random access slots of the random access time windows into at least two groups. Thereby, the means 34 receives information on the system requirements, for example current traffic

patterns and so on, to determine the new groups or to change the size of existing groups to adopt the telecommunication system dynamically to changing requirements. The means 34 further generates a corresponding signal containing the information defining the new groups of the random access time window, which is then broadcast by the transmitting means 33 to the connected mobile stations. The receiving means 32 as well as the means 34 for dividing the random access time windows into groups and generating a corresponding information signal are further connected to all the elements which are necessary to operate the base station 25 in a code division multiple access telecommunication system according to the present invention. These elements, however, are not shown for the sake of clarity.

According to the present invention, the available random access slots in the random access time windows 20 are divided into groups, for example, a first group of random access slots 21 allocated to initial access attempts, a second group of random access slots 22 allocated for packet data access attempts and a third group of random access slots 23 allocated for voice call access attempts. These groups are mapped to different priority classes and the size, i.e. the number of random access slots, of each group may depend upon current traffic levels and the required quality of service for that priority class. It is thereby possible, that the contents of one group may overlap with another. When a random access message is to be sent upon the random access channel, then this message will fall into one of the priority classes, which will correspond to one group of random access slots. Which priority class the message falls into depends upon the message type and content. From this corresponding group a random choice will be made from the mobile station 24 regarding the random access slot or slots to be used. This ensures that a message from a certain priority class has a probability of successful access to the network equal to that of other messages of the same class sent by other mobile stations in the cell, but not necessarily as other random access messages of different priority classes. The size, i.e. the number of random access slots of the group dictates the probability of the access. The size of each group is controlled by the base station 25 and can be dynamically changed by the means 34 for dividing the random access time windows into groups and by broadcasting a corresponding information to the connected mobile stations. The means 34 thereby divides a random access time window 20 into groups depending upon current traffic patterns, network requirements or any other predesigned control parameter.

35

The information signal containing information on the groups in the random access time windows 20 which is broadcast by the transmission means 33 of the mobile station 25, can be a simple pair of digits. If for example the random access time window 20 of figure 2 is taken, the address of the random access slots could be taken as (0,3) for the

time offset value access and (0,7) for the preamble code access, which would indicate the random access time slots 21 indicated as being reserved for initial access attempts. As stated above, the probability of access for each group can be changed dynamically by the network. In this fashion, control is allowed over the quality of service applied to particular access types.

An additional feature for reducing congestion on the random access slots and a more efficient use of the random access time window is to partition the groups of the random access time window respectively into at least a first section and a second section. The first section contains only contention based random access slots, whereas the second section only contains reservation based random access slots. The random access slots of the first sections of the groups are used for the usual contention based random access attempts, whereas the random access slots in the second sections can be reserved in succeeding random access time windows for the transfer of larger amounts of data, for example packet data. The partitioning of each group in the random time access windows can thereby also be dynamically controlled by the network depending on network requirements. A corresponding signal is broadcast to the mobile stations to change the partitioning of the groups.

Claims

5

1. Method for transmitting and receiving data in a code division multiple access telecommunication system, comprising the steps of providing a random access time window (20) comprising a plurality of random access slots for transmitting random access data from at least one first communication device (24) to a second communication device (25),
10 dividing the plurality of random access slots of the random access time window (20) into at least two groups, and allocating the groups to respective priority classes, whereby the priority classes represent the transmission priorities of the random access data to be transmitted in the
15 random access slots.

2. Method for transmitting and receiving data according to claim 1,
characterized in,
that the transmission priorities of the random access data to be transmitted are
20 determined on the basis of the content and the type of the random access data.

3. Method for transmitting and receiving data according to claim 1 or 2,
characterized in,
that the number of random access slots in each group is variably set depending on
25 system requirements.

4. Method for transmitting and receiving data according to claim 1, 2 or 3,
characterized in,
that a first communication device (24), for transmitting random access data of a certain
30 transmission priority, randomly chooses one or more random access slots from the group having the corresponding priority class.

5. Method for transmitting and receiving data according to claim 4,
characterized in,
35 that the access probability depends on the number of random access slots in the group.

6. Method for transmitting and receiving data according to one of the preceding claims,
characterized in,
that said second communication device (25) periodically broadcasts information

defining the groups of the random access time window (20) to the at least one first communication device.

7. Method for transmitting and receiving data according to one of the preceding claims,
5 **characterized in,**

that each random access slot in said random access time window (20) is defined by a time offset value and a preamble code.

8. Device (24) for transmitting and receiving data in a code division multiple access
10 telecommunication system, in which a random access time window (20) comprising a plurality of random access slots for transmitting random access data is provided, the plurality of random access slots of the random access time window (20) being divided into at least two groups and the groups being allocated to respective priority classes, whereby the priority classes represent the transmission priorities of the random access
15 data to be transmitted in the random access slots, with means (30) for randomly choosing one or more random access slots from a group having a certain priority class corresponding to the transmission priority of the random access data to be transmitted, and means (29) for transmitting the random access data in said chosen random access
20 slot(s).

9. Device for transmitting and receiving data according to claim 8,
characterized in,
that the access probability depends on the number of random access slots in the group.
25

10. Device for transmitting and receiving data according to claim 8 or 9,
characterized by
means (31) for extracting information defining the groups of the random access time window (20) from a received broadcast signal.
30

11. Device for transmitting and receiving data according to one of the claims 8 to 10,
characterized in,
that each random access slot in said random access time window is defined by a time offset value and a preamble code.
35

12. Device (25) for transmitting and receiving data in a code division multiple access telecommunication system, in which a random access time window (20) comprising a plurality of random access slots for transmitting random access data is provided, with means (34) for dividing the plurality of random access slots of the random access time

window into at least two groups, whereby the groups are allocated to respective priority classes, the priority classes representing the transmission priorities of the random access data to be transmitted in the random access slots, and

5 means (34) for transmitting information defining the groups of the random access time window.

13. Device for transmitting and receiving data according to claim 12,
characterized in,

10 that the transmission priorities of the random access data to be transmitted are determined on the basis of the content and the type of the random access data.

14. Device for transmitting and receiving data according to claim 12 or 13,
characterized in,

15 that said means (34) for dividing the random access slots into groups sets the number of random access slots in each group variably depending on system requirements.

15. Device for transmitting and receiving data according to one of the claims 12 to 14,
characterized in,

20 that each random access slot in said random access time window is defined by a time offset value and a preamble code.

ABSTRACT

The present invention relates to a method and to devices for transmitting and receiving data in a code division multiple access telecommunication system. A random access time window (20) is provided, which comprises a plurality of random access slots for transmitting random access data, for example from a mobile station (24) to a base station (25). The plurality of random access slots in the random access time window (20) is divided into at least two groups, whereby the groups are allocated to respective priority classes, whereby the priority classes represent the transmission priorities of the random access data to be transmitted in the random access slots. The present invention allows a reduction in congestion on the random access slots for certain access types at the expense of other access types and a more efficient use of the random access time window.

15 (Fig. 1)

FIG 1

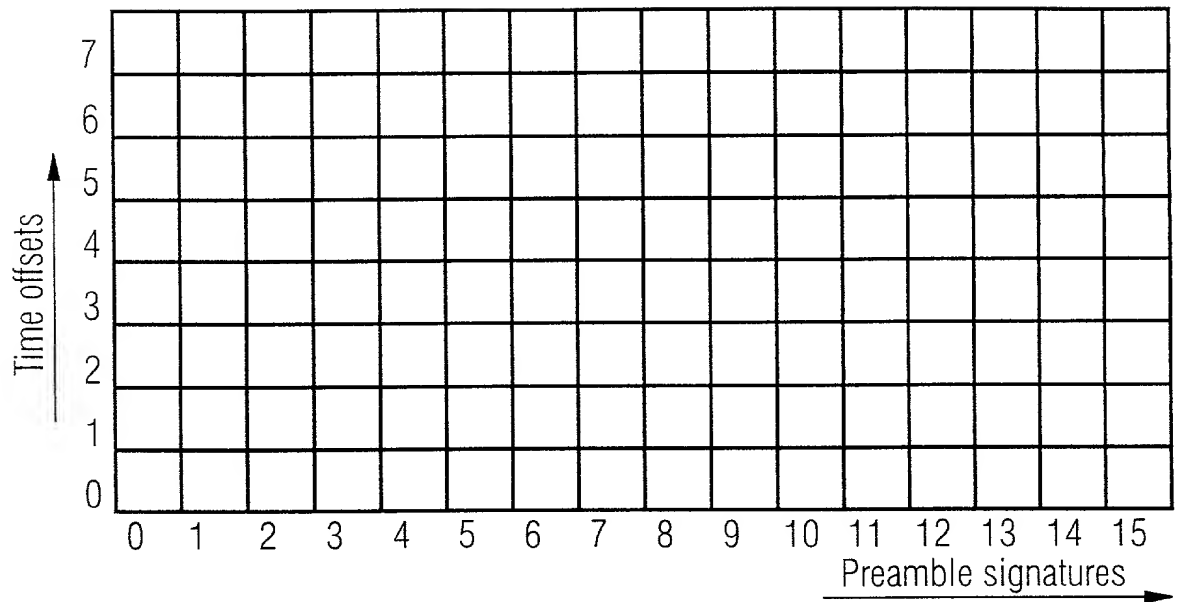
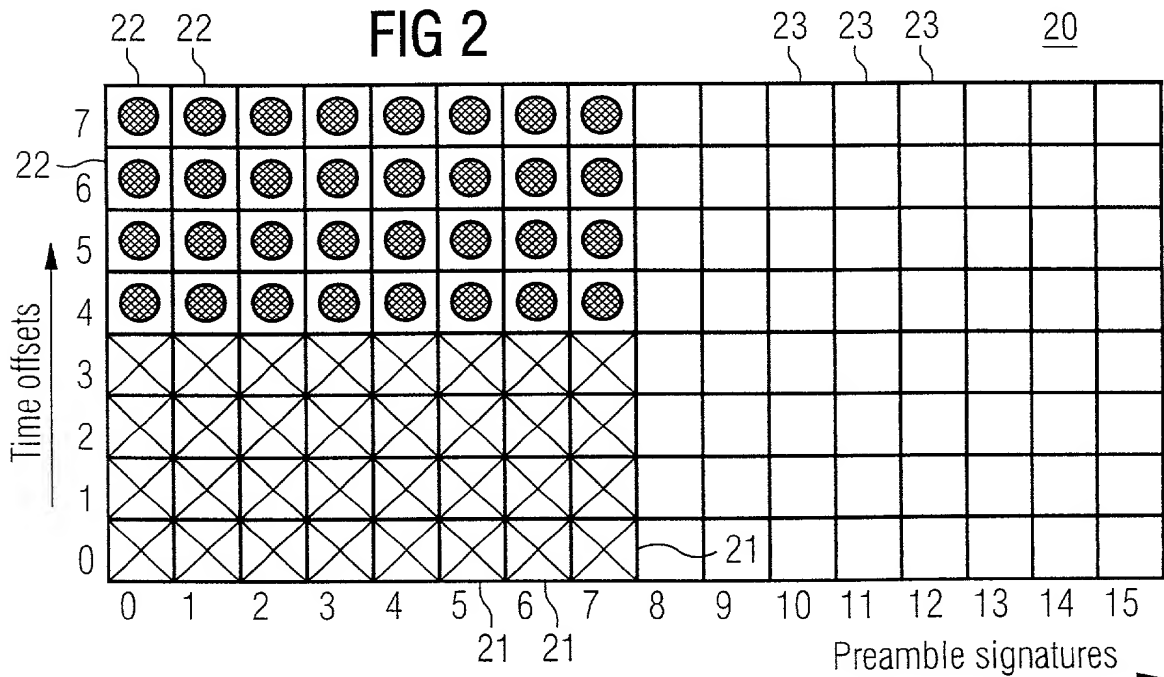


FIG 2






-  Slot allocated to Initial access attempts
-  Slot allocated for Packet data access attempts
-  Slot allocated for voice call access attempts

FIG 3

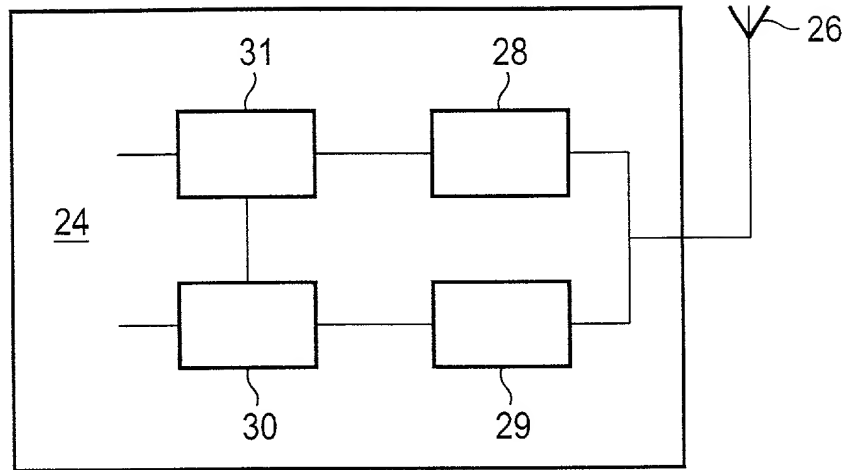
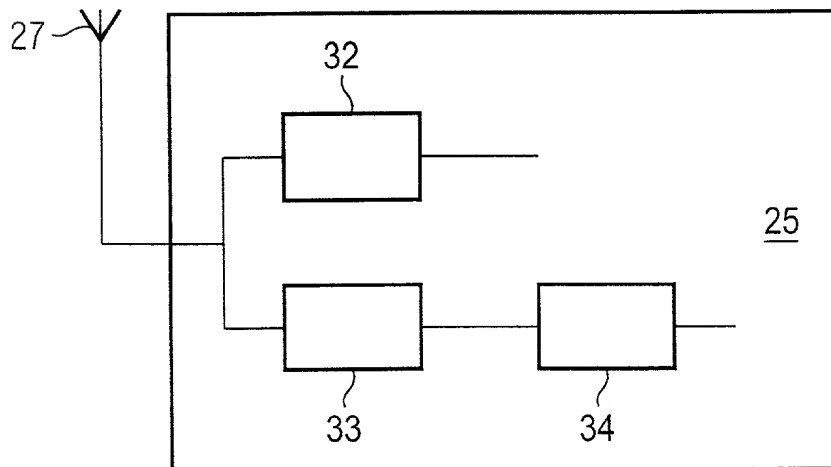


FIG 4



(Under 37 CFR § 1.63; with Power of Attorney)

FLH File No. 450117-02105

My residence, post office address and citizenship are as stated below next to my name,

RANDOM ACCESS CHANNEL PRIORITIZATION SCHEME

 X is attached hereto.

_____ was filed on _____ as Application Serial No. _____

with amendment(s) through _____ (if applicable, give dates).

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s) [list additional applications on separate page]: Priority Claimed:

<u>Number:</u>	<u>Country:</u>	<u>Filed (Day/Month/Year):</u>	<u>Yes</u>	<u>No</u>
98 118 819.6	Europe	5 October 1998	X	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Application(s) [list additional applications on separate page]:

AppIn. Ser. Number: Filed (Day/Month/Year): Status (patented, pending, abandoned):

I hereby appoint WILLIAM S. FROMMER, Registration No. 25,506, and DENNIS M. SMID, Registration No. 34,930 or their duly appointed associate, my attorneys, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to file continuation and divisional applications thereof, to receive the Patent, and to transact all business in the Patent and Trademark Office and in the Courts in connection therewith, and specify that all communications about the application are to be directed to the following correspondence address:

WILLIAM S. FROMMER, Esq.
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New York, New York 10151

Direct all telephone calls to:
(212) 588-0800
to the attention of:
WILLIAM S. FROMMER

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

INVENTOR(S):

Signature: _____ Date: _____

Signature: _____ John HALTON
Full name of sole or first inventor: Ulm, Germany
Residence: U.K.
Citizenship:

Signature: _____ Date: _____

Signature: _____
Full name of 2nd joint inventor (if any): Paul JAMES
Residence: Bochum, Germany
Citizenship: U.K.

Signature: _____ Date: _____

Full name of 3rd joint inventor (if any):
Residence:
Citizenship:

[Similarly list additional inventors on separate page]

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Note: In order to qualify for reduced fees available to Small Entities, each inventor and any other individual or entity having rights to the invention must also sign an appropriate separate "Verified Statement (Declaration) Claiming [or Supporting a Claim by Another for] Small Entity Status" form [e.g. for Independent Inventor, Small Business Concern, Nonprofit Organization, individual Non-Inventor].

Note: A post office address must be provided for each inventor.